### PATENT COOPERATION TREATY

## **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

REC'D 2 5 JUL 2005

(PCT Article 36 and Rule 70)

WIPO PCT

Applicant's or agent's file reference SJW/8153-WO		FOR FURTHER ACTION		
International application No. PCT/GB2004/001419		International filing date (day/mor	Priority date (day/month/year) 27.03.2003	
	Classification (IPC)	or national classification and IPC 36		
Applicant REGEN TEC LT				
Authority un	der Article 35 and	transmitted to the applicant acce	established by this International Preliminary Examining rding to Article 36.	
2. This REPO				
This report is also accompanied by ANNEXES, comprising:				
F3		to the International Bureau) a total of 5 sneets, as follows.		
	hich have been amended and are the basis of this report y this Authority (see Rule 70.16 and Section 607 of the			
	sheets which super beyond the disclo	ersede earlier sheets, but which the Sure in the international application	nis Authority considers contain an amendment that goes on as filed, as indicated in item 4 of Box No. I and the	
b. □ <i>(sei</i> seq Box	t to the Internation bence listing and/o Relating to Seque	nal Bureau only) a total of (indicat or tables related thereto, in compu ence Listing (see Section 802 of t	e type and number of electronic carrier(s)) , containing a ter readable form only, as indicated in the Supplemental he Administrative Instructions).	
4. This report	contains indicatio	ns relating to the following items:	·	
⊠ Box No				
☐ Box No	11 Driority			
☐ Box No		lishment of opinion with regard to	novelty, inventive step and industrial applicability	
☐ Box No	IV Look of un	ity of invention		
⊠ Box No	-	statement under Article 35(2) wit y; citations and explanations sup	th regard to novelty, inventive step or industrial porting such statement	
☐ Box N	o. VI Certain do	cuments cited	·	
☐ Box N	. VII Certain de	fects in the international applicati	ion	
	o. VIII Certain ob	servations on the international ap	pplication	
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# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/001419

	Box No. I Basis of the report		
<ol> <li>With regard to the language, this report is based on the international application in the language in whice filed, unless otherwise indicated under this item.</li> </ol>			
	which is the language of a tr	slations from the original language into the following language, anslation furnished for the purposes of:	
	☐ international search (und ☐ publication of the internat	er Rules 12.3 and 23.1(b)) tional application (under Rule 12.4) examination (under Rules 55.2 and/or 55.3)	
2.	Tith regard to the <b>elements*</b> of the international application, this report is based on (replacement sheets which ave been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this eport as "originally filed" and are not annexed to this report):		
	Description, Pages		
	1-17	as originally filed	
	Claims, Numbers	20 00 0005 with letter of 27 01 2005	
	1-33	received on 02.02.2005 with letter of 27.01.2005	
Drawings, Sheets			
	1/3-3/3	as originally filed	
	☐ a sequence listing and/or a	ny related table(s) - see Supplemental Box Relating to Sequence Listing	
3	3.   The amendments have res	sulted in the cancellation of:	
	☐ the description, pages		
	<ul><li>☐ the claims, Nos.</li><li>☐ the drawings, sheets/fig</li></ul>	s	
	<ul><li>☐ the sequence listing (sp</li><li>☐ any table(s) related to s</li></ul>	pecify): sequence listing <i>(specify)</i> :	
	had not been made, since they Supplemental Box (Rule 70.2(	plished as if (some of) the amendments annexed to this report and listed below have been considered to go beyond the disclosure as filed, as indicated in the c)).	
	<ul><li>☐ the description, pages</li><li>☐ the claims, Nos.</li><li>☐ the drawings, sheets/figure</li></ul>	gs «A	
	☐ the sequence listing (s☐ any table(s) related to	sequence listing ( <i>specily)</i> :	
	* If item 4 applies,	some or all of these sheets may be marked "superseded."	

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/001419

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N) Yes: Claims 1-33 No: Claims

Inventive step (IS) Yes: Claims

No: Claims 1-33

Industrial applicability (IA) Yes: Claims 1-33

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

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#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1 - The following documents (D1,D2,D3) are referred to in this communication (Article 33(6) PCT); the numbering will be adhered to in the rest of the procedure:

D1: US-A-5 502 092 (SUSZKO PAUL R ET AL) 26 March 1996 (1996-03-26)

D2: WO 99/25391 A (BONETEC CORP) 27 May 1999 (1999-05-27)

D3: US-A-4 997 443 (WALTHALL BENNIE J ET AL) 5 March 1991 (1991-03-05)

- The amendments filed by the applicant do not introduce subject-matter which extends beyond the content of the application as filed (Article 34(2)(b) PCT).

#### 2. Novelty (Article 33(2) PCT)

- The subject-matter of present claims 1-33 appears to be novel over the cited prior art for the following reasons (Article 33(2) PCT):
- Documents D1 describes a process for the production of a biocompatible porous matrix of bioabsorbable materials comprising a bioabsorbable polymer, dissolving the bioabsorbable polymer in a volumetric orientation aid to yield a molten solution, solidifying the molten solution to yield an orientation matrix comprising a first and second phase and removing the volumetric orientation aid (second phase) while the solution is solid (Cf. D1, column 4, line 45-column 5, line 33; column 6, lines 2-48; column 7, lines 8-65; column 8, lines 38-64; examples 1-6).
- Document D2, cited by the applicant, describes a biodegradable polymer scaffold comprising an interconnected macroporous network (Cf. D2, page 2, line 20-page 3, line 22; page 9, line 21-page 10, line 29; page 12, line 11-page 13, line 22; page 15, lines 3-28).
- Document D3 describes a transplantable artificial tissue matrix structure containing viable cells made by polymerizing precursors in an aqueous solution to form a shape retaining solid matrix. The reversible gel polymer is dissolved and removed to yield an insoluble, porous matrix containing viable cells (Cf. D3, column 3, lines 16-53; column 3, line 63-column 4, line 29; column 4, lines 40-60; claims 1-18).

None of the cited documents D1 to D3 refers to a tissue scaffold as described in present claim

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1 which comprises a matrix comprising a second phase.

### 3. Inventive Step (Article 33(1),(3) PCT)

- Although novel, the subject-matter of present claims 1-33 cannot be considered as being inventive for the following reasons (Article 33(1),(3) PCT):
- The subjective problem to be solved by the present application is to provide a porous matrix which have good diffusion properties and efficient cells seeding.
- The solution proposed in the present application is a tissue scaffold comprising a matrix as described in present claim 1.
  - Document D1, which is considered as the closest prior art, describes a process for the production of a biocompatible porous matrix of bioabsorbable materials comprising a bioabsorbable polymer (first phase), dissolving the bioabsorbable polymer in a volumetric orientation aid to yield a molten solution (second phase), solidifying the molten solution to yield an orientation matrix comprising a first and second phase and removing the volumetric orientation aid (second phase) while the solution is solid.
  - The difference between the claimed subject-matter and the teaching of the closest prior art appears to be the presence of a second phase contained within and distributed through the first phase.
  - The technical effect of this difference as the surprising technical effect linked to this difference is not clearly mentioned within the application as filed. Therefore, it appears that the feature of present claims 1-33 is merely one of several straightforward possibilities from which the skilled person would select, in accordance with circumstances, without the exercise of inventive skill, in order to solve the problem posed.

Thus, the subject-matter of present claims 1-33 does not involve an inventive step (Article 33(1),(3) PCT).

### 4. Industrial Application (Article 33(4) PCT)

- The subject-matter of present claims 1-33 is considered to be industrially applicable; claims

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1-33 therefore, satisfy the criterion set forth in Article 33(4) PCT.

**EPO-DG 1** 

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#### **CLAIMS**

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- A tissue scaffold which comprises a matrix comprising a solid or semi solid first phase and, contained within and distributed through the first phase, a second phase which optionally additionally contains cells, and wherein the matrix has a porous structure.
- A tissue scaffold according to claim 1, wherein the second phase is solid.
- A tissue scaffold according to claim 2, wherein the second phase comprises a solid particulate material contained within and distributed through the first phase.
- 4. A tissue scaffold according to claim 3, wherein the solid particulate material is porous.
- A tissue scaffold according to any one of claims 1 to 4, wherein the first phase 5. or the second phase or both the first phase and the second phase comprises one or more of the polymers selected from  $poly(\alpha-hydroxyacids)$ , polylactic or polyglycolic acids, poly-lactide poly-glycolide copolymers, polyethylene glycol (PEG) copolymers, polyesters, poly (ε-caprolactone), poly (3-hydroxy-butyrate), poly (s-caproic acid), poly (p-dioxanone), poly (propylene fumarate), poly (ortho esters), polyol/diketene acetals addition polymers, poly (PSA), anhydride) (sebacic poly polyanhydrides, [bis(p-(PCPP), poly (carboxybiscarboxyphenoxyphenoxyhexane) carboxyphenoxy) methane] (PCPM), copolymers of SA, CPP and CPM poly (amino acids), poly (pseudo amino acids), polyphosphazenes, derivatives of poly [(dichloro) phosphazene], poly [(organo) phosphazenes] polymers, polyphosphates, polyethylene glycol polypropylene block copolymers, natural polymers, silk, elastin, chitin, chitosan, fibrin, fibrinogen, polysaccharides (including pectins), alginates, collagen, poly (amino acids), peptides, polypeptides or proteins, co-polymers prepared from the monomers of these polymers, random blends of these polymers or mixtures or combinations thereof.

- 6. A tissue scaffold according to claim 5, wherein the polymer is biodegradable.
- A tissue scaffold according to either claim 5 or claim 6, wherein the polymer is crosslinked.
- 8. A tissue scaffold according to any one of claims 5 to 7, wherein the first phase or the second phase or both the first phase and the second phase comprises a polymer and a plasticizer.
- 9. A tissue scaffold according to any one of claims 1 to 8, which additionally contains cells.
  - A tissue scaffold according to claim 9, wherein the cells are provided in the second phase.
  - A tissue scaffold according to either claim 9 or claim 10, in which the cells are animal cells.
  - 12. A tissue scaffold according to claim 11, in which the cells are mammalian cells.
  - 13. A tissue scaffold according to claim 11, in which the cells are human cells.
  - 14. A tissue scaffold according to any one of claims 11 to 13, in which the cells are bone, osteoprogenitor cells, cardiovascular cells, endothelial cells, cardiomyocytes, pulmonary or other lung cells, gut or intestinal cells, cartilage, muscle, liver, kidney, skin, or specialised cells such as placental, amnionic, chorionic or foetal cells, stem cells, chondrocytes, or reprogrammed cells from other parts of the body such as adipocytes reprogrammed to become cartilage cells.
  - 15. A tissue scaffold according to any one of claims 1 to 14, in which the matrix further comprises one or more factors useful for the promotion of tissue growth and development.

- 16. A tissue scaffold according to claim 15, wherein the factors in the matrix comprise epidermal growth factor, platelet derived growth factor, basic fibroblast growth factor, vascular endothelial growth factor, insulin-like growth factor, nerve growth factor, hepatocyte growth factor, transforming growth factors and bone morphogenic proteins, cytokines including interferons, interleukins, monocyte chemotactic protein-1 (MCP-1), oestrogen, testosterone, kinases, chemokinases, glucose or other sugars, amino acids, calcification factors, dopamine, amine-rich oligopeptides, such as heparin binding domains found in adhesion proteins such as fibronectin and laminin, other amines tamoxifen, cis-platin, peptides and certain toxoids.
- 17. A tissue scaffold according to any one of claims 1 to 16, in which the matrix further comprises drugs, hormones, enzymes, antibiotics, nutrients or other therapeutic agents or factors or mixtures thereof in both the first phase and the second phase.
- 18. A tissue scaffold according to any one of claims 1 to 17, in which each of the first phase and the second phase of the matrix comprises different drugs, hormones, enzymes, antibiotics, nutrients or other therapeutic agents or factors or mixtures thereof.
- 19. A process for the production of the tissue scaffold of claim 1, which process comprises the steps:-
  - bringing a first phase into a fluid state;
  - introducing a second phase into the first phase;
  - mixing the first phase and the second phase such that the second phase is contained within and distributed through the first phase; and
  - 4. allowing the first phase to solidify to a solid or semi solid state with the second phase contained within and distributed through the first phase to form a matrix, said matrix also having a porous structure.
- 20. A process according to claim 19, wherein the second phase is a solid particulate material and wherein the first phase, when in the fluid state, is tacky.

- 21. A process according to either claim 19 or claim 20, wherein the first phase and the second phase are in particulate form and wherein the particles of the first phase, when mixed with the second phase, coat the particulate material of the second phase.
- 22. A process according to any one of claims 19 to 21, wherein, in step 4, the first phase is caused to solidify to a solid or semi solid state by the change of a single parameter.
- 23. A process according to claim 22, wherein the change of a single parameter is selected from a change in temperature, a change in pH, the introduction of a crosslinking, setting or gelling agent, the presence/absence of light, ultraviolet or infra-red curing or under anaerobic conditions.
  - 24. A process according to any one of claims 19 to 23, wherein the second phase comprises a porous solid particulate material.
  - 25. A process according to claim 24, wherein the porous solid particulate material has a porosity of from 10 to 97%.
  - A process according to any one of claims 19 to 23, wherein the first phase or 26. the second phase or both the first phase and the second phase comprises a polymer selected from  $poly(\alpha-hydroxyacids)$ , polylactic or polyglycolic acids, poly-lactide poly-glycolide copolymers, poly-lactide polyethylene glycol (PEG) copolymers, polyesters, poly (ε-caprolactone), poly (3-hydroxy-butyrate), poly (s-caproic acid), poly (p-dioxanone), poly (propylene fumarate), poly (ortho esters), polyol/diketene acetals addition polymers, polyanhydrides, poly (sebacic anhydride) (PSA), poly (carboxybiscarboxyphenoxyphenoxyhexane) (PCPP), poly [bis(p-carboxyphenoxy) methane] (PCPM), copolymers of SA, CPP and CPM poly (amino acids), poly (pseudo amino acids), polyphosphazenes, derivatives of poly [(dichloro) phosphazene], poly [(organo) phosphazenes] polymers, polyphosphates, polyethylene glycol polypropylene block copolymers, natural polymers, silk, elastin, chitin, chitosan, fibrin, fibrinogen, polysaccharides (including pectins), alginates, collagen, poly (amino acids), peptides, polypeptides or proteins, co-polymers prepared from the

monomers of these polymers, random blends of these polymers or mixtures or combinations thereof.

- 27. A process according to claim 26, wherein the polymer is biodegradable.
- A process according to either claim 26 or claim 27, wherein the polymer is caused to undergo crosslinking.
- 29. A process according to any one of claims 19 to 28, wherein a plasticizer is added to the first phase or the second phase or to both the first phase and the second phase.
- 30. A process according to any one of claims 19 to 29, wherein cells are incorporated into the second phase.
- 31. A process according to any one of claims 19 to 30, wherein the first phase transforms to a solid or semisolid state at or close to the body temperature of an animal, including human, and wherein, after the mixing step 3., the mixture is introduced into the body of the animal prior to the solidification step 4.
- 32. A process according to claim 19, wherein the first phase comprises a material which in step 4 forms a gel.
- 33. A process according to any one of claims 19 to 32, including an additional step of shaping or partially shaping the matrix before insertion into or onto target tissue.